



S/N 09/706,576

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian L. Schmidt et al.      Examiner: Andrew M. Dolinar  
Serial No.: 09/706,576      Group Art Unit: 3747  
Filed: November 03, 2000      Docket: 279.268US1  
Title: CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR  
CONNECTIONS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants hereby authorize the Commissioner to charge the fee of \$180.00, as set forth in 37 C.F.R. §1.17(p), to Deposit Account No. 19-0743.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No. 09/706,576

Filing Date: November 03, 2000

Title: CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS

Page 2

Dkt: 279.268US1

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

BRIAN L. SCHMIDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 359-3267

Date

7/11/05

By

Pete C Maki

Peter C. Maki  
Reg. No. 42,832

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 11 day of July, 2005.

Name

Paula Sucky

Signature

Paula Sucky



S/N 09/706,576

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JUL 13 2005

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Serial No.: 09/706,576  
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Examiner: Andrew M. Dolinar  
Group Art Unit: 3747  
Docket: 279.268US1

COMMUNICATION CONCERNING RELATED APPLICATION(S)

Commissioner for Patents  
MS: Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date/Issue Date</u>	<u>Attorney Docket</u>	<u>Title</u>
10/969,441	October 20, 2004	279.235US2	FLAT CAPACITOR HAVING AN ACTIVE CASE

Continuations and divisionals may be later filed on the cases listed above, or cited to the Examiner in any previous Communication Concerning Related Applications. Applicants request that the Examiner review all continuations and divisionals of the above-listed or previously-cited patent applications before allowing the claims of the present patent application.

Respectfully submitted,

BRIAN L. SCHMIDT ET AL.

By Applicants' Representatives,

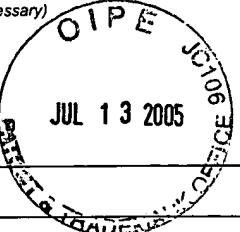
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
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Date 7/11/05 By Peter C. Maki  
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Paula S. Schmid  
Name

Signature

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE      STATEMENT BY APPLICANT</b> <small>(Use as many sheets as necessary)</small>		<i>Complete if Known</i> <b>Application Number</b> 09/706,576 <b>Filing Date</b> November 3, 2000 <b>First Named Inventor</b> Schmidt, Brian <b>Group Art Unit</b> 3747 <b>Examiner Name</b> Dolinar, Andrew	
 Sheet 1 of 1		Attorney Docket No: 279.268US1	

### US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2005/0052825 A1	03/10/2005	O'Phelan, M. J.	10/20/2004
	US-3,150,301	09/22/1964	Schils, A. L., et al.	12/07/1961
	US-4,931,899	06/05/1990	Pruett, D. N.	01/17/1989
	US-5,634,938	06/03/1997	Swanson, David K., et al.	10/25/1995
	US-5,950,131	09/07/1999	Vilmur, R. J.	10/29/1996
	US-6,556,863	04/29/2003	O'Phelan, M. J., et al.	10/02/1998
	US-6,585,152	07/01/2003	Farahmandi, C. J., et al.	02/13/2001
	US-6,833,987	12/21/2004	O'Phelan, Michael J.	11/03/2000
	US-6,885,887	04/26/2005	O'Phelan, M. J., et al.	06/30/2004

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DOFFING, B., et al., "METHOD AND APPARATUS FOR PROVIDING FLEXIBLE PARTIALLY ETCHED CAPACITOR ELECTRODE INTERCONNECT", <u>US Patent Application Serial No. 10/996,903, filed November 24, 2004 (Attorney Docket No. 279.831US1), 239 Pgs.</u>	
		POPLETT, J. M., "CAPACITOR WITH SINGLE SIDED PARTIAL ETCH AND STAKE", <u>US Patent Application Serial No. 11/065,873, filed February 25, 2005, Attorney Docket No. 279.826US1, 28 pgs.</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



SAN 09/706576

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Serial No.: 09/706576 Group Art Unit: 3747  
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**INFORMATION DISCLOSURE STATEMENT**



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MS: RCE

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Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No :09/706576

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Page 2  
Dkt: 279.268US1

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Date 10/12/04

By Peter C. Maki

Peter C. Maki

Reg. No. 42,832

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Paula Suchy  
Name

Paula Suchy  
Signature

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use as many sheets as necessary)COPY  
JUL 13 2005  
JC106  
TELEFAX

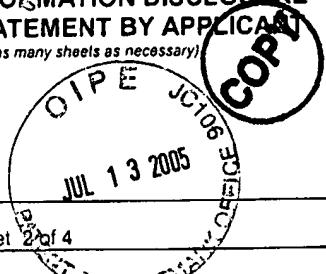
Sheet 1 of 4

Complete if Known	
Application Number	09/706576
Filing Date	November 3, 2000
First Named Inventor	Schmidt, Brian
Group Art Unit	3747
Examiner Name	Dolinar, Andrew
Attorney Docket No: 279.268US1	

US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2003/0077509 A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
	US-2003/0195568, A1	10/16/2003	O'Phelan, Michael J., et al.	607	5	04/17/2003
	US-2004/0019268 A1	01/29/2004	Schmidt, Brian L., et al.	600	407	04/15/2003
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	US-3,803,457	04/09/1974	Yamamoto, H.	317	230	05/07/1973
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EXAMINER

DATE CONSIDERED

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Complete if Known																													
		<table border="1"> <tr> <td>Application Number</td> <td colspan="4">09/706576</td> </tr> <tr> <td>Filing Date</td> <td colspan="4">November 3, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td colspan="4">Schmidt, Brian</td> </tr> <tr> <td>Group Art Unit</td> <td colspan="4">3747</td> </tr> <tr> <td>Examiner Name</td> <td colspan="4">Dolinar, Andrew</td> </tr> </table>					Application Number	09/706576				Filing Date	November 3, 2000				First Named Inventor	Schmidt, Brian				Group Art Unit	3747				Examiner Name	Dolinar, Andrew			
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Sheet 2 of 4		Attorney Docket No: 279.268US1																													

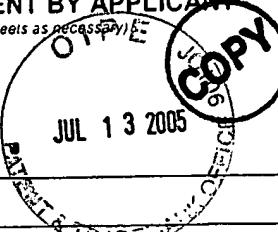
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EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(Use as many sheets as necessary)</small>		Complete if Known Application Number 09/706576 Filing Date November 3, 2000 First Named Inventor Schmidt, Brian Group Art Unit 3747 Examiner Name Dolinar, Andrew				
		Attorney Docket No: 279.268US1				
Sheet 3 of 4						

	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
	US-6,522,525	02/18/2003	O'Phelan, Michael J., et al.	361	509	11/03/2000
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	US-6,628,505	09/30/2003	Andelman, M. D.	361	541	02/12/2002
	US-6,674,634	01/06/2004	O'Phelan, Michael J., et al.	361	509	11/04/2002
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	US-6,709,946	03/23/2004	O'Phelan, Michael J., et al.	438	396	11/19/2002
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	US-2001/0020319	09/13/2001	Farahmandi, C. J., et al.	29	25.03	02/13/2001

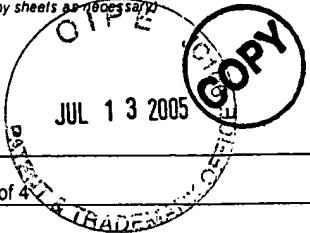
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	JP-52-004051	01/12/1977	Nakamura, Jin , et al.	h01g 9/04		x
	JP-59-083772	05/15/1984	Teramatsu, Makoto	C23F 1/02	H01G1 /01	x
	WO-00/19470	04/06/2000	O'Phelan, M. , et al.	H01 G	9/055	
	WO-98/27562	06/25/1998	Benz, Michael E., et al.	H01 G	4/18	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		O'PHELAN, MICHAEL J., et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 09/706,579. Filed November 3, 2000 Attorney Docket No. 279.271US1, 29 pgs.
		O'PHELAN, MICHAEL , et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 10/846,805. Filed May 14, 2004 Attorney Docket No. 279.271US2, 39 pgs.

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		<b>First Named Inventor</b> Schmidt, Brian	<b>Group Art Unit</b> 3747
		<b>Examiner Name</b> Dolinar, Andrew	
Sheet 4 of 4		Attorney Docket No: 279.268US1	

		O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,677, Filed January 15, 2004 Attorney Docket No. 279.234US3, 219 pgs.	
		O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,701, Filed January 15, 2004 Attorney Docket No. 279.234US4, 219 pgs.	
		O'PHELAN, MICHAEL J., "FLAT CAPACITOR HAVING AN ACTIVE CASE", Application Serial No. 09/706,517, Filed November 3, 2000 Attorney Docket No. 279.235US1, 39 pgs.	
		O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS", Application Serial No. 10/736,209, Filed December 15, 2003 Attorney Docket No. 279.088US2, 19 pgs.	
		O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES", Application Serial No. 10/729,424, Filed December 4, 2003 Attorney Docket No. 279.171US3, 28 pgs.	
		O'PHELAN, MICHAEL , et al., "METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR", Application Serial No. 10/874,798, filed June 23, 2004 Attorney Docket No. 279.823US1, 39 pgs.	
		O'PHELAN, MICHAEL , et al., "METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR", Application Ser. No. 10/882,144, Filed June 30, 2004 Attorney Docket No. 279.169US3, 57 pgs.	

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In re Patent Application of: Brian L. Schmidt et al.  
Title: CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS  
Attorney Docket No.: 279.268US1  
Serial No.: 09/706,576  
Receipt is hereby acknowledged for the following in the United States Patent and Trademark  
Office:

**CONTENTS:** REQUEST FOR CONTINUED EXAMINATION (RCE) Transmittal (1 pg);  
Amendment Under 37 CFR § 1.116 (10 pgs.); Information Disclosure Statement (2 pgs.); Form 1449  
(4 pages) and 76 Copies of cited references; Authorization to charge Deposit Account No. 19-0743  
in the amount of \$790.00 to pay the RCE filing fee; A Petition for Extension of Time in the prior  
application (1 pg.); Authorization to charge Deposit Account No. 19-0743 in the amount of  
\$2080.00 to pay the extension fee; A Communication Concerning Related Applications (3 pgs.); A  
Declaration Under 37 C.F.R. 1.131 (1 pg.) and Exhibit 1 (1 pg.); and reprinted  
JC56

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PCM/pmc Due Date: October 10, 2004

